

## CEGAR and Predicate Abstraction

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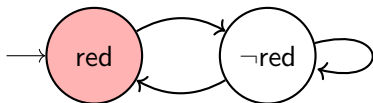
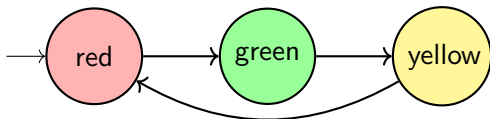
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We need to pick the abstraction **based on** the properties we care about, and if necessary change our abstraction on the fly based on the results we see.

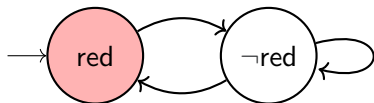
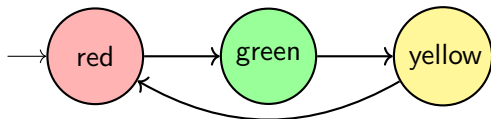
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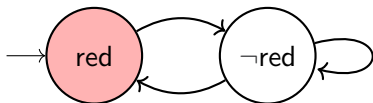
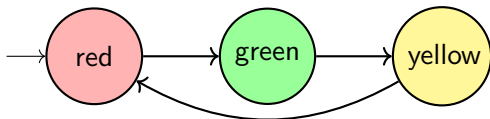
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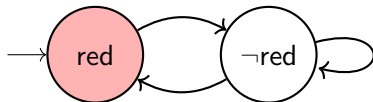
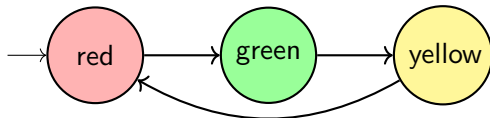


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We know that if  $A \sqsubseteq C$  then  $(A \models \varphi) \Rightarrow (C \models \varphi)$  for  $\varphi \in \text{ACTL}$ ,  
but what about if  $A \not\models \varphi$ ?

# Counterexamples

## Note

If  $A \not\models \varphi$  for some  $\varphi \in \text{ACTL}$ , then there exists a run that serves as a *counterexample* to the formula  $\varphi$ .

- If  $A \not\models \varphi$ , that tells us *either* that  $C \not\models \varphi$  or that our abstraction is not precise enough — the counterexample will be *spurious*.

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- **Our approach:** To check if our counterexample is spurious, convert it to a concrete run  $\in C$ .

## Abstract to Concrete Run

Let  $\alpha$  be our abstraction mapping  $Q_C \rightarrow Q_A$  and our run be  $q_0 q_1 q_2 \dots$ . We apply the mapping in **reverse**,  $\alpha^{-1}$ , and try to find a concrete run starting from our initial state  $I_C$  according to transition relation  $\delta_C$ :

$$\begin{aligned} S_0 &= I_C \cap \alpha^{-1}(q_0) \\ S_1 &= \delta_C(S_0) \cap \alpha^{-1}(q_1) \\ S_2 &= \delta_C(S_1) \cap \alpha^{-1}(q_2) \quad \text{etc..} \end{aligned}$$

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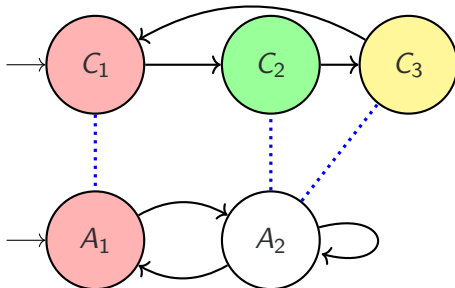
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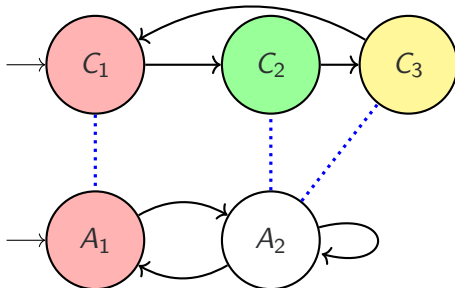
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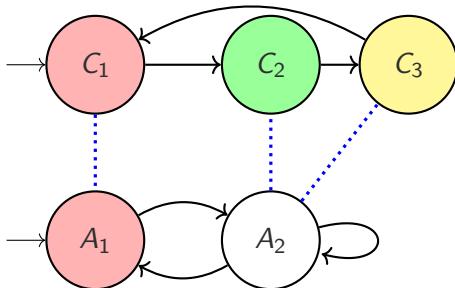
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$\alpha^{-1}(A_1 A_2 A_2)$

$= \{C_1\}\{C_2, C_3\}\{C_2, C_3\}$



## Abstract to Concrete Run

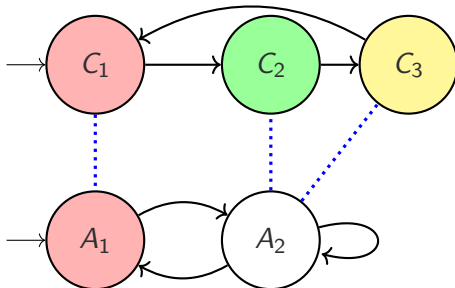
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$\alpha^{-1}(A_1 A_2 A_2)$

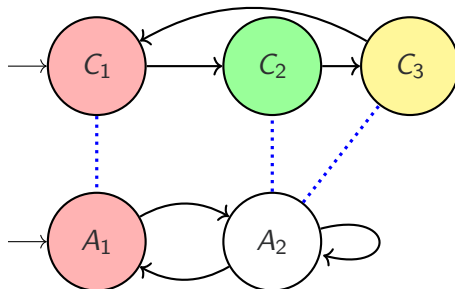
$= \{C_1\}\{C_2, C_3\}\{C_2, C_3\}$

There is a run

$C_1 \xrightarrow{\delta_C} C_2 \xrightarrow{\delta_C} C_3$

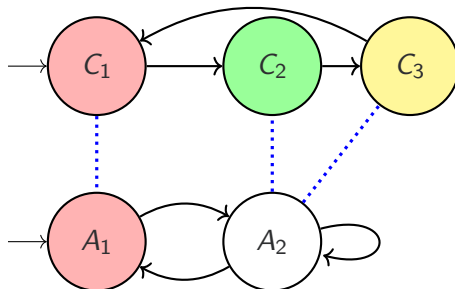
$\therefore$  **Not spurious.**

## Spurious Counterexamples



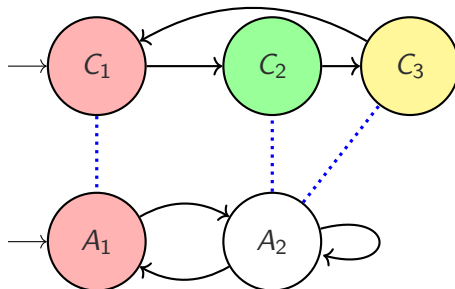
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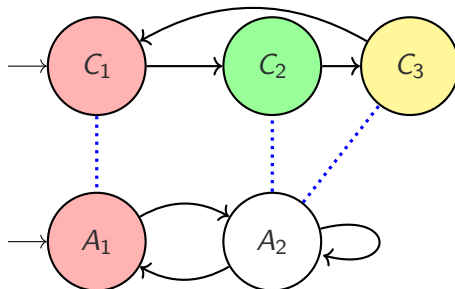
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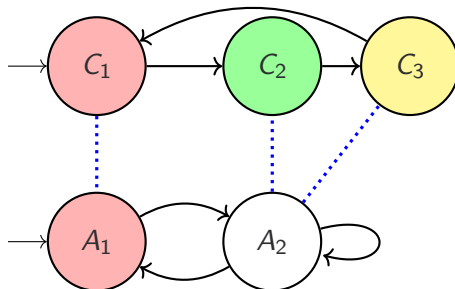
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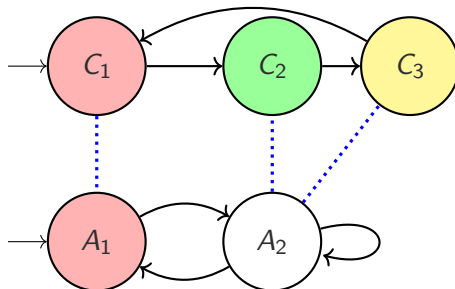


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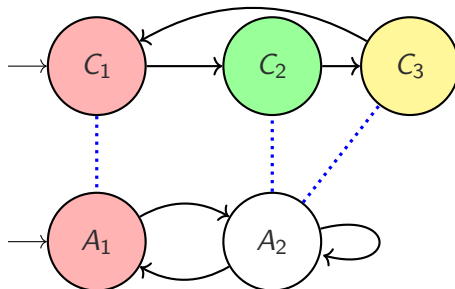
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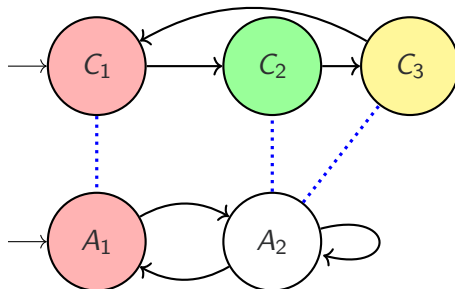


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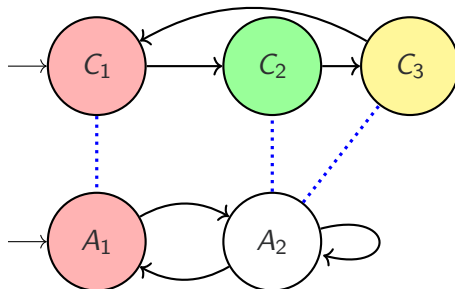
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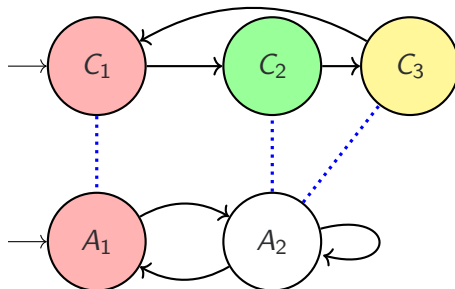
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There is no concrete run — this counterexample is spurious. Our abstraction is too **imprecise**.

# Abstraction Refinement

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Consider two abstractions  $\alpha : Q_C \rightarrow Q_A$  and  $\alpha' : Q_C \rightarrow Q_B$ .

We say that  $\alpha'$  **refines**  $\alpha$  iff  $\equiv_{\alpha'} \subseteq \equiv_\alpha$ .

Similarly, we say  $\alpha'$  **strictly refines**  $\alpha$  iff  $\equiv_{\alpha'} \subsetneq \equiv_\alpha$

## Informal Notion

We previously considered abstractions as grouping together concrete states into equivalence classes. We can refine abstractions by **splitting** those equivalence classes.

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We have a spurious counterexample  $q_1q_2q_3\dots$

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We will split this class into two sets:

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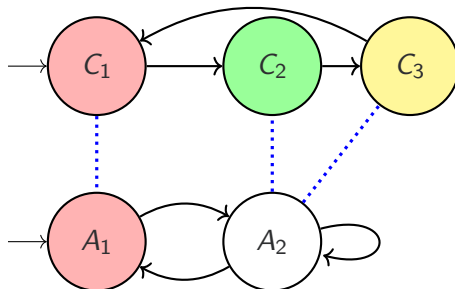
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The resulting classes will form the new, refined abstraction of our model. If both of these sets are non-empty, we split the state  $q_i$  into two states, one for each set.

# Example



**AG** (red  $\Rightarrow$  **AX AX AX** red) **Counterexample:**  $A_1 A_2 A_2 A_2$

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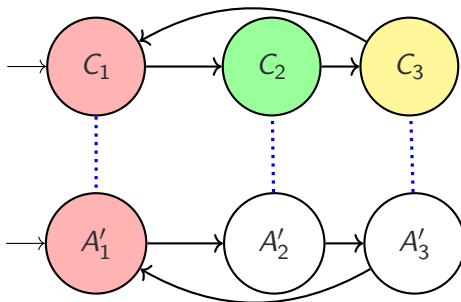
$$S_2 = \delta_C(S_1) \cap \alpha^{-1}(A_2) = \{C_3\} \cap \{C_2, C_3\} = \{C_3\}$$

$$S_3 = \delta_C(S_2) \cap \alpha^{-1}(A_2) = \{C_1\} \cap \{C_2, C_3\} = \emptyset$$

$\alpha^{-1}(A_2) = \{C_2, C_3\}$ . We have to split this into those that follow from  $S_0$  ( $\{C_2\}$ ) and those that don't ( $\{C_3\}$ ).

## After Splitting

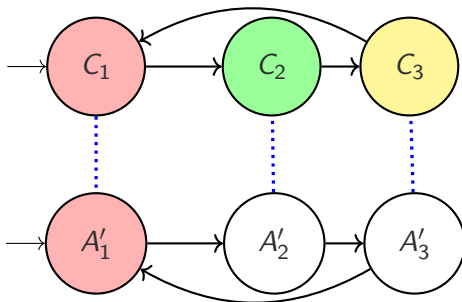
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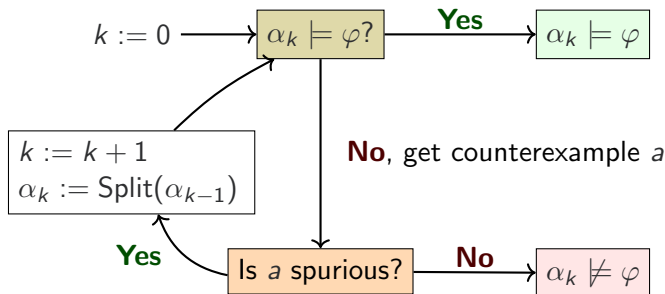


We now have an abstraction that does not exhibit our spurious counterexample, but the state space has increased.

In fact, it's impossible to refine this further, why?

# CEGAR

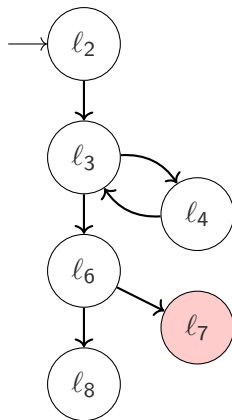
This technique gives us an approach called **Counterexample Guided Abstraction Refinement** (CEGAR). We have a starting abstraction  $\alpha_0$  and an ACTL formula  $\varphi$ :



# C Programs

**Objective:** Prove that our assertion is never violated.

```
1  int main() {  
2      int i = 0, n = 0;  
3      while (i < n) {  
4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```



Need to check reachability, but can we simplify the state space first?

# Predicate Abstraction

## Predicate Abstraction

A *predicate abstraction* of a program is a version of the program with the same control flow graph, where all variables are replaced with *boolean overapproximations*.

Booleans can be true, false, or \* (nondeterministically true or false).



## Basic PA

To start with, let's try using  $i < n$  as our only predicate:

```
1  int main() {  
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we want our boolean program to be an **abstraction**.

### Requirement

If a location is not reachable in the abstraction, it is not reachable in the concrete program.

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```

```
1  int main() {  
2      int b = false;  
3      while (b) {  
4          b = b?*:false;  
5      }  
6      if (b)  
7          assert(false);  
8  }
```

## Basic PA

To start with, let's try using  $i < n$  as our only predicate:

```
1  int main() {  
2      int i = 0, n = 0;  
3      while (i < n) {  
4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```

```
1  int main() {  
2      int b = false;  
3      while (b) {  
4          b = b?:false;  
5      }  
6      if (b)  
7          assert(false);  
8  }
```

we want our boolean program to be an **abstraction**.

### Requirement

If a location is not reachable in the abstraction, it is not reachable in the concrete program.

# Harder PA

Now let's try using  $i < 2$  and  $n \geq 3$  as our only predicates:

```
1  int main() {  
2      int i = 0, n = 0;  
3      while (i < n) {  
4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```

What do we use for the ?? It must **overapproximate**  $i < n$ .

# Harder PA

Now let's try using  $i < 2$  and  $n \geq 3$  as our only predicates:

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1  int main() {  
2      int i = 0, n = 0;  
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4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```

```
1  int main() {  
2      int b1 = true, b2 = false;  
3      while (??) {  
4          b1 = b1?*:false;  
5      }  
6      if (??)  
7          assert(false);  
8  }
```

# Harder PA

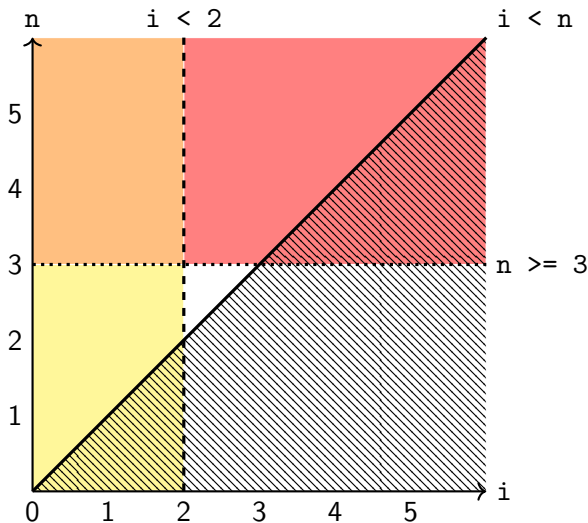
Now let's try using  $i < 2$  and  $n \geq 3$  as our only predicates:

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1  int main() {  
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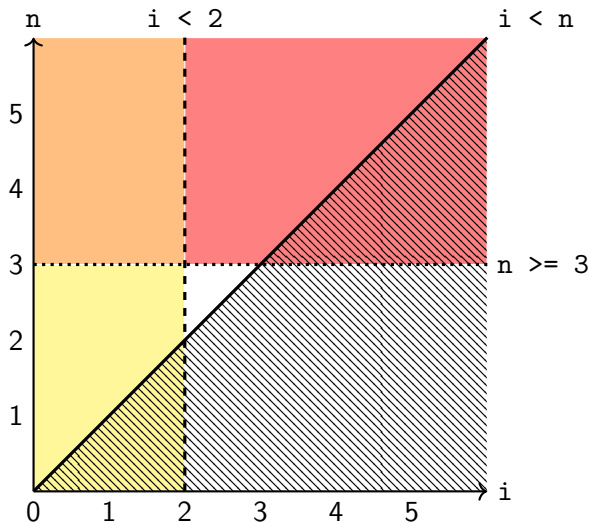
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1  int main() {  
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3      while (??) {  
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```

What do we use for the ?? It must **overapproximate**  $i < n$ .

# Abstract Condition



# Abstract Condition



The only overapproximation is  $\neg(i < 2 \wedge n \geq 3)$  i.e.  $!(b1 \ \&\& \ b2)$



# Harder PA

```
1  int main() {  
2      int i = 0, n = 0;  
3      while (i < n) {  
4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```

```
1  int main() {  
2      int b1 = true, b2 = false;  
3      while (!(b1 && b2)){  
4          b1 = b1?:false;  
5      }  
6      if (!(b1 && b2))  
7          assert(false);  
8  }
```

## No Predicates

The abstraction with no predicates has **all states reachable**:

```
1  int main() {  
2      int i = 0, n = 0;  
3      while (i < n) {  
4          i++;  
5      }  
6      if (i < n)  
7          assert(false);  
8  }
```

```
1  int main() {  
2      ;;  
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5      }  
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How do we find out what predicates to add?

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How do we find out what predicates to add? **Use CEGAR!**

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### Example (Abstract Counterexample)

Lines  $3 \rightarrow 6 \rightarrow 7$ .

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### Example (Abstract Counterexample)

Lines 3  $\rightarrow$  6  $\rightarrow$  7. Looking at the concrete program, this path would require  $i \geq n$  (to move from line 3 to 6) and  $i < n$  (to move from line 6 to 7).

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### Example (Abstract Counterexample)

Lines 3  $\rightarrow$  6  $\rightarrow$  7. Looking at the concrete program, this path would require  $i \geq n$  (to move from line 3 to 6) and  $i < n$  (to move from line 6 to 7).

Both can't be true simultaneously. This path is **spurious**.

# Interpolants

## Craig's Interpolation Theorem

If we have two predicates  $P(x)$  and  $Q(y)$  such are contradictory (i.e.  $\neg(P(x) \wedge Q(y))$ ), then there exists a predicate  $I(x \cap y)$  which:

- is implied by  $P(x)$ , i.e.  $P(x) \Rightarrow I(x \cap y)$ , and
- contradicts  $Q(y)$  i.e.  $\neg(I(x \cap y) \wedge Q(y))$ .

Crucially, the interpolant  $I(x \cap y)$  only ranges over variables common to both predicates.

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## Example

- $(i = 1)$  and  $i \leq 0$ :



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## Example

- $(i = 1)$  and  $i \leq 0: i > 0$
- $(i \leq 2 \wedge k = i + 1)$  and  $k > 5$ :

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- $(i \leq 2 \wedge k = i + 1)$  and  $k > 5 : k \leq 4$
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# Path Interpolant

Sequence of program locations

$$\ell_1 \ell_2 \ell_3 \dots \ell_k$$

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$$\pi_1 \wedge \pi_2 \wedge \pi_3 \dots \pi_k$$

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$$\ell_1 \ell_2 \ell_3 \dots \ell_k$$


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SAT



Non-spurious case

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$\ell_1 \ell_2 \ell_3 \dots \ell_k$



Sequence of predicates

$\pi_1 \pi_2 \pi_3 \dots \pi_k$



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SAT

UNSAT

Non-spurious case

Spurious case



# Path Interpolant

Sequence of program locations

$$l_1 l_2 l_3 \dots l_k$$


Sequence of predicates

$$\pi_1 \pi_2 \pi_3 \dots \pi_k$$

$$\pi_1 \wedge \pi_2 \wedge \pi_3 \dots \pi_k$$

SAT

UNSAT

Non-spurious case

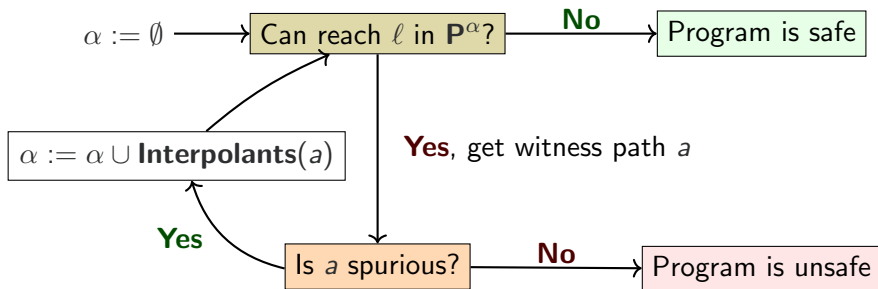
Spurious case

There exists interpolants

$$l_1 l_2 l_3 \dots l_{k-1}$$

# CEGAR for C Programs

Let  $\mathbf{P}$  be our program,  $\alpha$  be our predicate set, and  $\mathbf{P}^\alpha$  be the predicate abstraction of  $\mathbf{P}$  using  $\alpha$ . The location  $\ell \in \mathbf{P}$  is our **bad state** we want to avoid (assertion failure).



# Termination

## On finite automata

- Finite number of states

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- Each CEGAR loop increases the number of states in the abstraction, but the number **can't exceed** the number of concrete states.

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- (Effectively) **infinite amount of states**

# Termination

## On finite automata

- Finite number of states
- Each CEGAR loop increases the number of states in the abstraction, but the number **can't exceed** the number of concrete states.

## On C programs

- (Effectively) **infinite amount of states**
- **∴ No guarantee** of termination
- When it terminates it is both **sound** (in that it always finds errors if they exist) and **complete** (it will not provide spurious errors).

# Bibliography

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